

EMLN: EV186782273US

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PTO/SB/96 (08-03)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Steven D. Lacy et al.

Application No./Patent No.: 09/420,334 Filed/Issue Date: October 18, 1999

Entitled: Graphic Design of Combinatorial Material Libraries

Symyx Technologies, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010569, Frame 0803, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Nov. 8, 2004
Date

(408) 773-4027

Telephone number

Paul A. Stone

Typed or printed name

Paul A. Stone
Signature

Vice President, Chief Patent Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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27, 2000



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MENLO PARK, CA 94025

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RECORDATION DATE: 02/24/2000

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NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LACY, STEVEN D.

DOC DATE: 01/04/2000

ASSIGNOR:

MCFARLAND, ERIC W.

DOC DATE: 01/19/2000

ASSIGNOR:

SAFIR, ADAM L.

DOC DATE: 12/29/1999

ASSIGNOR:

TURNER, STEPHEN J.

DOC DATE: 01/04/2000

ASSIGNOR:

ERDEN, LYNN VAN

DOC DATE: 12/10/1999

ASSIGNOR:

WANG, PEI

DOC DATE: 01/10/2000

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ASSIGNEE:

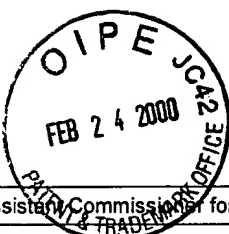
SYMYX TECHNOLOGIES, INC.
3100 CENTRAL EXPRESSWAY
SANTA CLARA, CALIFORNIA 95051

SERIAL NUMBER: 09420334
PATENT NUMBER:

FILING DATE: 10/18/1999
ISSUE DATE:

MARCUS KIRK, EXAMINER
ASSIGNMENT DIVISION
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2/24/00



03-01-2000

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| | |
|--|---|
| Assistant Commissioner for Patents: Please record the attached original document(s) or copy(ies). | |
| 1. Name of conveying party(ies): Steven D. Lacy; Eric W. McFarland, Adam L. Safir; Stephen J. Turner, Lynn van Erden, and Pei Wang Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 2. Name and address of receiving party(ies): SYMYX TECHNOLOGIES, INC. 3100 Central Expressway Santa Clara, CA 95051 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 1/4/00; 1/19/00; 12/23/99; 12/10/99; and 1/10/00; respectively | 4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): 09/420,334 B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 5. Name/address of party to whom correspondence concerning document should be mailed: TIMOTHY A. PORTER Fish & Richardson P.C. 2200 Sand Hill Road, Suite 100 Menlo Park, CA 94025 | 6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050. |
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| 9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Timothy A. Porter Reg. No. 41,258 Name of Person Signing Signature Date 2/14/00 | |
| Total number of pages including coversheet, attachments and document: 5 | |

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 14, 2000
Date of Deposit
Signature
Bridget Cougar
Typed Name of Person Signing Certificate

ASSIGNMENT

For valuable consideration, we, STEVEN D. LACY, of Sunnyvale, California; ERIC W. MCFARLAND, of Santa Barbara, California; ADAM L. SAFIR, of Berkeley, California; STEPHEN J. TURNER, of San Jose, California; LYNN VAN ERDEN, of Livermore, California; and PEI WANG, of San Jose, California; hereby assign to Symyx Technologies, Inc., a Delaware corporation having a place of business at 3100 Central Expressway, Santa Clara, California 95051, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us this day, entitled GRAPHIC DESIGN OF COMBINATORIAL MATERIAL LIBRARIES, filed October 18, 1999, and assigned U.S. Serial Number 09/420,334, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, and design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Clara, California,
this 4 day of Jan., 2000

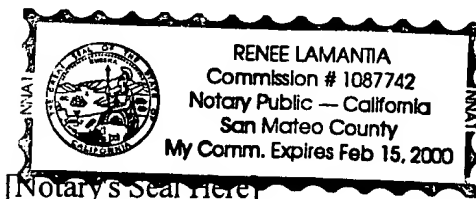
Steven D. Lacy L.S.
Steven D. Lacy

STATE OF California :

:ss.

COUNTY OF Santa Clara :

Before me this 4th day of JAN, 2000, personally appeared Steven D. Lacy, known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Renee Lamantia
Notary Public

My Commission Expires: 2/15/2000

ASSIGNMENT

IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Barbara, CA,
this 19 day of January, ²⁰⁰⁰~~19~~

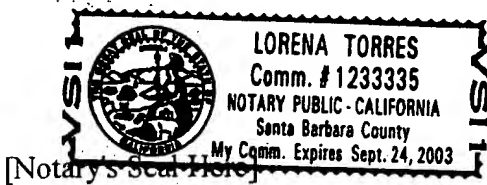
Eric W. McFarland L.S.
Eric W. McFarland

STATE OF California :

:SS.

COUNTY OF Santa Barbara :

Before me this 19th day of January, ²⁰⁰⁰~~19~~, personally appeared Eric W. McFarland, known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Lorena Torres
Notary Public

My Commission Expires: 9/24/2003

IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Clara, CA,
this 29 day of December, 1999

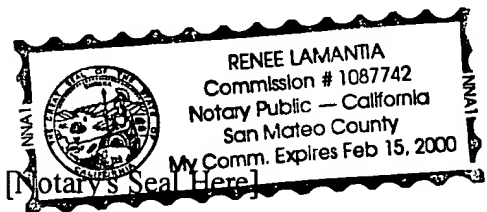
Adam L. Safir L.S.
Adam L. Safir

STATE OF California :

:SS.

COUNTY OF Santa Clara :

Before me this 29 day of Dec, 1999, personally appeared Adam L. Safir, known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Renee Lamantia
Notary Public

My Commission Expires: 2/15/2000

ASSIGNMENT

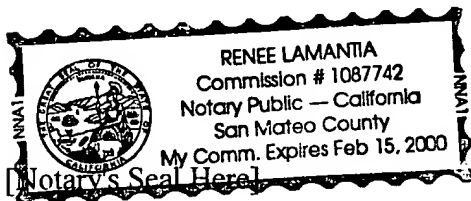
IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Clara Calif,
this 4th day of JAN, 192000

Stephen J. Turner L.S.

STATE OF California :

COUNTY OF Santa Clara : :SS.

Before me this 4th day of Jan, 192000, personally appeared Stephen J. Turner, known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Renee Lamantia
Notary Public

My Commission Expires: 2/15/2000

IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Clara, Calif,
this 10th day of DECEMBER, 1999

Lynn Van Erden L.S.

STATE OF California :

COUNTY OF Santa Clara : :SS.

Before me this 10th day of Dec, 1999, personally appeared Lynn Van Erden, known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Renee Lamantia
Notary Public

My Commission Expires: 2/15/2000

ASSIGNMENT

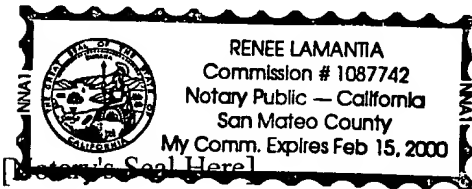
IN WITNESS WHEREOF, I hereto set my hand and seal at Santa Clara, California,
this 10 day of JAN, 19 2000

Pei Wang L.S.
Pei Wang

STATE OF California:

COUNTY OF Santa Clara: ss.

Before me this 10th day of JAN, 2000, personally appeared Pei Wang, known to me
to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the
same as his free act and deed for the purposes therein contained.



Renee Lamantia
Notary Public

My Commission Expires: 2/15/2000